

## Off-diagonal Bethe ansatz for exactly solvable models

Wen-Li Yang

Northwest University

Joint Works with J. Cao, K. Shi and Y. Wang

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# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

The Hamiltonian of the closed XXZ chain is

$$H = -\frac{1}{2} \sum_{k=1}^N \left( \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \cosh \eta \sigma_k^z \sigma_{k+1}^z \right),$$

where

$$\sigma_{N+1}^\alpha = \sigma_1^\alpha, \quad \alpha = x, y, z.$$

The system is **integrable**, i.e., there exist enough conserved charges

$$i\hbar \frac{\partial}{\partial t} h_i = [H, h_i] = 0, \quad i = 1, \dots$$

and

$$[h_i, h_j] = 0.$$



# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

It is convenient to introduce a generation function of these charges, the so-called transfer matrix

$$t(u) = \sum_{i=0} h_i u^i.$$

Then

$$[t(u), t(v)] = 0, \quad H \propto \frac{\partial}{\partial u} \ln t(u)|_{u=0} + \text{const},$$

or

$$H \propto h_0^{-1} h_1 + \text{const},$$

$$h_0 \sigma_i^\alpha h_0^{-1} = \sigma_{i+1}^\alpha.$$



# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

The eigenstates and the corresponding eigenvalues can be obtained by Quantum Inverse Scattering Method (QISM). In the framework of QISM, the monodromy matrix  $T(u)$

$$T(u) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix},$$

has played a central role. It is built from the six-vertex R-matrix of

$$T_0(u) = R_{0N}(u - \theta_N) \dots R_{01}(u - \theta_1),$$

where the well-known six-vertex R-matrix is given by

$$R(u) = \frac{1}{\sinh \eta} \begin{pmatrix} \sinh(u + \eta) & & & \\ & \sinh u & \sin \eta & \\ & \sinh \eta & \sin u & \\ & & & \sinh(u + \eta) \end{pmatrix}.$$

The transfer matrix is  $t(u) = \text{tr}T(u) = A(u) + D(u)$ .



# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

In the case of  $N=1$ ,

$$A(u) = \frac{1}{\sinh \eta} \begin{pmatrix} \sinh(u - \theta_1 + \eta) & \\ & \sinh(u - \theta_1) \end{pmatrix}, \quad B(u) = \begin{pmatrix} & \\ 1 & \end{pmatrix},$$
$$C(u) = \begin{pmatrix} & 1 \\ & \end{pmatrix}, \quad D(u) = \frac{1}{\sinh \eta} \begin{pmatrix} \sinh(u - \theta_1) & \\ & \sinh(u - \theta_1 + \eta) \end{pmatrix}.$$

In the case of  $N=2$

$$A(u) = A_2(u)A_1(u) + B_2(u)C_1(u), \quad B(u) = A_2(u)B_1(u) + B_2(u)D_1(u),$$
$$C(u) = C_2(u)A_1(u) + D_2(u)C_1(u), \quad D(u) = C_2(u)B_1(u) + D_2(u)D_1(u).$$

⋮



# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

The R-matrix satisfies the Yang-Baxter equation (YBE)

$$R_{12}(u-v) R_{13}(u) R_{23}(v) = R_{23}(v) R_{13}(u) R_{12}(u-v). \quad (1)$$

The above fundamental relation leads to the following so-called RLL relation between the monodromy matrix

$$R_{0'0'}(u-v) T_0(u) T_{0'}(v) = T_{0'}(v) T_0(u) R_{0'0'}(u-v). \quad (2)$$

This leads to  $[t(u), t(v)] = 0$ .



# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

In terms of the matrix elements of the monodromy matrix, the RLL relation read

$$B(u)B(v) = B(v)B(u), \quad (3)$$

$$A(u)B(v) = \frac{\sinh(u-v-\eta)}{\sinh(u-v)} B(v)A(u) + \frac{\eta}{\sinh(u-v)} B(u)A(v), \quad (4)$$

$$D(u)B(v) = \frac{\sinh(u-v+\eta)}{\sinh(u-v)} B(v)D(u) - \frac{\eta}{\sinh(u-v)} B(u)D(v), \quad (5)$$

$\vdots$

There exists a quasi-vacuum state (or reference state)  $|\Omega\rangle$  such that

$$|\Omega\rangle = |\uparrow, \dots, \uparrow\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \dots \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad (6)$$

$$A(u)|\Omega\rangle = a(u)|\Omega\rangle = \prod_{j=1}^N (\sinh u - \theta_j + \eta)|\Omega\rangle, \quad (7)$$

$$D(u)|\Omega\rangle = d(u)|\Omega\rangle = \prod_{j=1}^N \sinh(u - \theta_j)|\Omega\rangle, \quad (8)$$

$$C(u)|\Omega\rangle = 0, \quad B(u)|\Omega\rangle \neq 0. \quad (9)$$





Let us introduce the Bethe state

$$|\lambda_1, \dots, \lambda_M\rangle = B(\lambda_1) \dots B(\lambda_M) |\Omega\rangle. \quad (10)$$

The action of the transfer matrix reads

$$\begin{aligned} t(u)|\lambda_1, \dots, \lambda_M\rangle &= \prod_{i=1}^M \frac{\sinh(u - \lambda_i - \eta)}{\sinh(u - \lambda_i)} a(u)|\lambda_1, \dots, \lambda_M\rangle \\ &+ \prod_{i=1}^M \frac{\sinh(u - \lambda_i + \eta)}{\sinh(u - \lambda_i)} d(u)|\lambda_1, \dots, \lambda_M\rangle \\ &+ \text{unwanted terms.} \end{aligned}$$



# Quantum Spin Chains with $U(1)$ -symmetry

Periodic boundary condition

If the parameters  $\{\lambda_j\}$  needs satisfy Bethe ansatz equations,

$$\prod_{k \neq j}^M \frac{\sinh(\lambda_j - \lambda_k + \eta)}{\sinh(\lambda_j - \lambda_k - \eta)} = \prod_{l=1}^N \frac{\sinh(\lambda_j - \theta_l + \eta)}{\sinh(\lambda_j - \theta_l)}, \quad j = 1, \dots, M. \quad (11)$$

Then the Bethe states become the common eigenstates of  $t(u)$  with eigenvalue  $\Lambda(u)$

$$t(u)|\lambda_1, \dots, \lambda_M\rangle = \Lambda(u)|\lambda_1, \dots, \lambda_M\rangle,$$

where  $\Lambda(u) = \Lambda(u; \lambda_1, \dots, \lambda_M)$  is given by

$$\begin{aligned} \Lambda(u) &= a(u) \prod_{i=1}^M \frac{\sinh(u - \lambda_i - \eta)}{\sinh(u - \lambda_i)} + d(u) \prod_{i=1}^M \frac{\sinh(u - \lambda_i + \eta)}{\sinh(u - \lambda_i)}, \\ &= a(u) \frac{Q(u - \eta)}{Q(u)} + d(u) \frac{Q(u + \eta)}{Q(u)}, \end{aligned} \quad (12)$$

where

$$Q(u) = \prod_{i=1}^M \sinh(u - \lambda_i).$$



# Quantum Spin Chains with $U(1)$ -symmetry

Twisted boundary condition

The Hamiltonian of the XXZ chain with twisted boundary condition is

$$H = -\frac{1}{2} \sum_{k=1}^N \left( \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \cosh \eta \sigma_k^z \sigma_{k+1}^z \right),$$

where

$$\sigma_{N+1}^\alpha = e^{i\phi\sigma_1^z} \sigma_1^\alpha e^{-i\phi\sigma_1^z}, \quad \alpha = x, y, z.$$

The phase factor  $\phi$  can be arbitrary complex number. The system is **integrable**, i.e., the corresponding transfer matrix can be constructed as

$$t(u) = \text{tr}(e^{i\phi\sigma^z} T(u)) = e^{i\phi} A(u) + e^{-i\phi} D(u).$$

The transfer matrix can diagonalized by algebraic Bethe ansatz similar as that of periodic case. The Bethe state is the same as (10), namely,

$$|\lambda_1, \dots, \lambda_M\rangle = B(\lambda_1) \dots B(\lambda_M) |\Omega\rangle.$$



# Quantum Spin Chains with $U(1)$ -symmetry

Twisted boundary condition

If the parameters  $\{\lambda_j\}$  satisfies Bethe ansatz equations,

$$\prod_{k \neq j}^M \frac{\sinh(\lambda_j - \lambda_k + \eta)}{\sinh(\lambda_j - \lambda_k - \eta)} = e^{2i\phi} \prod_{l=1}^N \frac{\sinh(\lambda_j - \theta_l + \eta)}{\sinh(\lambda_j - \theta_l)}, \quad j = 1, \dots, M. \quad (14)$$

Then the Bethe states become the common eigenstates of  $t(u)$  with eigenvalue  $\Lambda(u)$

$$\begin{aligned} \Lambda(u) &= e^{i\phi} a(u) \prod_{i=1}^M \frac{\sinh(u - \lambda_i - \eta)}{\sinh(u - \lambda_i)} + e^{-i\phi} d(u) \prod_{i=1}^M \frac{\sinh(u - \lambda_i + \eta)}{\sinh(u - \lambda_i)}, \\ &= e^{i\phi} a(u) \frac{Q(u - \eta)}{Q(u)} + e^{-i\phi} d(u) \frac{Q(u + \eta)}{Q(u)}. \end{aligned} \quad (15)$$



# Quantum Spin Chains without $U(1)$ -symmetry

Antiperiodic case

The Hamiltonian of the XXZ chain with antiperiodic boundary condition is

$$H = -\frac{1}{2} \sum_{k=1}^N \left( \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \cosh \eta \sigma_k^z \sigma_{k+1}^z \right),$$

where

$$\sigma_{N+1}^\alpha = \sigma_1^\alpha \sigma_1^\alpha, \quad \alpha = x, y, z.$$

The system is **integrable**, i.e., the corresponding transfer matrix can be constructed as

$$t(u) = \text{tr}(\sigma^x T(u)) = B(u) + C(u).$$

The model is a typical integrable without  $U(1)$  symmetry. Most of conventional Bethe ansatz method fails to give the solution because of the lack of a proper vacuum (or reference) state.



Recently, we give a solution to the spectrum problem of the corresponding transfer matrix in

- *Phys. Rev. Lett.* **111** (2013), 137201.

Let  $|\Psi\rangle$  be an eigenstate of the transfer matrix with an eigenvalue

$$t(u)|\Psi\rangle = \Lambda(u)|\Psi\rangle.$$

Due to the fact that  $|\Psi\rangle$  does not depend on  $u$ , we can derive the following properties which can determine  $\Lambda(u)$  completely

$$\Lambda(u), \text{ as a function of } u, \text{ is a trigonometric polynomial of degree } N - 1, \quad (16)$$

$$\Lambda(u + i\pi) = (-1)^{N-1}\Lambda(u), \quad (17)$$

$$\Lambda(\theta_j)\Lambda(\theta_j - \eta) = -a(\theta_j)d(\theta_j - \eta), \quad j = 1, \dots, N. \quad (18)$$



# Quantum Spin Chains without $U(1)$ -symmetry

Antiperiodic case

The solution to the above equations is given by

$$\Lambda(u) = e^u a(u) \frac{Q(u-\eta)}{Q(u)} - e^{-u-\eta} d(u) \frac{Q(u+\eta)}{Q(u)} - c(u) \frac{a(u)d(u)}{Q(u)}, \quad (19)$$

$$Q(u) = \prod_{j=1}^N \sinh(u - \lambda_j),$$

$$c(u) = e^{u-N\eta + \sum_{l=1}^N (\theta_l - \lambda_l)} - e^{-u-\eta - \sum_{l=1}^N (\theta_l - \lambda_l)}.$$

The parameters  $\{\lambda_j\}$  satisfy the associated Bethe ansatz equations

$$e^{\lambda_j} a(\lambda_j) Q(\lambda_j - \eta) - e^{-\lambda_j - \eta} d(\lambda_j) Q(\lambda_j + \eta) - c(\lambda_j) a(\lambda_j) d(\lambda_j) = 0, \\ j = 1, \dots, N. \quad (20)$$



- *JSTAT* (2015), **P05014**.

The eigenstate of the transfer matrix can be given by the following Bethe state

$$|\lambda_1, \dots, \lambda_N\rangle = \prod_{j=1}^N \frac{D(\lambda_j)}{d(\lambda_j)} |\Omega; \{\theta_j\}\rangle, \quad (21)$$

where the parameters  $\{\lambda_j | j = 1, \dots, N\}$  satisfy the BAEs (20). The "reference state"  $|\Omega; \{\theta_j\}\rangle$  is given by the following spin coherent state

$$|\Omega; \{\theta_j\}\rangle = \sum_{l=0}^{\infty} \frac{(B^-)^l}{[l]_q!} |0\rangle = \sum_{l=0}^N \frac{(B^-)^l}{[l]_q!} |0\rangle, \quad (22)$$

where the  $q$ -integers  $\{[l]_q | l = 0, \dots\}$  and the operator  $B^-$  are given by

$$[l]_q = \frac{1 - q^{2l}}{1 - q^2}, \quad [0]_q = 1, \\ [l]_q! = [l]_q [l-1]_q \cdots [1]_q, \quad q = e^\eta, \quad (23)$$

$$B^- = \sum_{l=1}^N e^{\theta_l + \frac{(N-1)\eta}{2}} e^{\frac{\eta}{2} \sum_{k=l+1}^N \sigma_k^z} \sigma_l^- e^{-\frac{\eta}{2} \sum_{k=1}^{l-1} \sigma_k^z}. \quad (24)$$





# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

Open XXZ chain Hamiltonian

$$H = -\frac{1}{2} \sum_{k=1}^{N-1} \left( \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \cosh \eta \sigma_k^z \sigma_{k+1}^z \right) \\ + f_1^x \sigma_1^x + f_1^y \sigma_1^y + f_1^z \sigma_1^z \\ + f_N^x \sigma_N^x + f_N^y \sigma_N^y + f_N^z \sigma_N^z$$

The model is **integrable**. If the components of boundary fields are parameterized by

$$F_1 = (f_1^x, f_1^y, f_1^z) = \frac{\sinh \eta}{\sinh \alpha_- \cosh \beta_-} (\coth \alpha_- \sinh \beta_-, \cosh \theta_-, i \sinh \theta_-)$$

$$F_N = (f_N^x, f_N^y, f_N^z) = \frac{\sinh \eta}{\sinh \alpha_+ \cosh \beta_+} (-\coth \alpha_+ \sinh \beta_+, \cosh \theta_+, i \sinh \theta_+).$$

The corresponding transfer matrix  $t(u)$  can be constructed by the six-vertex R-matrix and the associated K-matrices, i.e.,

$$t(u) = \text{tr}(\mathbb{T}(u)) = \text{tr} (K^+(u)T(u)K^-(u)T^{-1}(-u)),$$

where the K-matrices  $K^\pm(u)$  are the most general solutions of the reflection equation and its dual.



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

The K-matrix  $K^-(u)$  is given by

$$\begin{aligned}K^-(u) &= \begin{pmatrix} K_{11}^-(u) & K_{12}^-(u) \\ K_{21}^-(u) & K_{22}^-(u) \end{pmatrix}, \\K_{11}^-(u) &= 2(\sinh(\alpha_-) \cosh(\beta_-) \cosh(u) + \cosh(\alpha_-) \sinh(\beta_-) \sinh(u)), \\K_{22}^-(u) &= 2(\sinh(\alpha_-) \cosh(\beta_-) \cosh(u) - \cosh(\alpha_-) \sinh(\beta_-) \sinh(u)), \\K_{12}^-(u) &= e^{\theta_-} \sinh(2u), \quad K_{21}^-(u) = e^{-\theta_-} \sinh(2u),\end{aligned}\tag{25}$$

and it satisfies the reflection equation (RE)

$$\begin{aligned}R_{12}(u_1 - u_2)K_1^-(u_1)R_{21}(u_1 + u_2)K_2^-(u_2) \\= K_2^-(u_2)R_{12}(u_1 + u_2)K_1^-(u_1)R_{21}(u_1 - u_2).\end{aligned}\tag{26}$$



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

The dual K-matrix  $K^+(u)$  satisfies the following dual RE

$$\begin{aligned} R_{12}(u_2 - u_1)K_1^+(u_1)R_{21}(-u_1 - u_2 - 2)K_2^+(u_2) \\ = K_2^+(u_2)R_{12}(-u_1 - u_2 - 2)K_1^+(u_1)R_{21}(u_2 - u_1). \end{aligned} \quad (27)$$

The most general solution to the DRE is

$$K^+(u) = K^-(-u - \eta)|_{(\alpha_-, \beta_-, \theta_-) \rightarrow (-\alpha_+, -\beta_+, \theta_+)}. \quad (28)$$

The Hamiltonian can be expressed in terms of the transfer matrix

$$H = \sinh \eta \frac{\partial \ln t(u)}{\partial u} \Big|_{u=0, \theta_j=0} - N \cosh \eta - \tanh \eta \sinh \eta.$$



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with constrained boundary fields

For the very special case of  $F_1 = (f_1^x, f_1^y, f_1^z) = (0, 0, f_1^z)$  and  $F_N = (0, 0, f_N^z)$ , namely,

$$H = -\frac{1}{2} \sum_{k=1}^{N-1} \left( \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \cosh \eta \sigma_k^z \sigma_{k+1}^z \right) + f_1^z \sigma_1^z + f_N^z \sigma_N^z$$

the model was solved by Sklyanin (*J. Phys. A* **21** (1988), 2375). The boundary QISM has failed to solve the spectral problem of the general case for many years. However, it can be solved by a generalized boundary QISM developed (Fan et al *Nucl. Phys. B* **478** (1996), 723, Cao et al *Nucl. Phys. B* **663** (2003), 487) for some case. In these cases, a local vacuum state does exist and the corresponding Bethe states have similar structure as that of closed but with a different quasi-particle creation operator  $\mathcal{B}(u)$  and reference state  $\tilde{\Omega}$ . The corresponding Bethe states are

$$|\lambda_1, \dots, \lambda_M\rangle = \mathcal{B}(\lambda_1) \dots \mathcal{B}(\lambda_M) |\tilde{\Omega}\rangle,$$

where the parameters  $\{\lambda_i\}$  needs satisfy the associate Bethe ansatz equations.



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

For the generic  $F_1 = (f_1^x, f_1^y, f_1^z)$ ,  $F_N = (f_N^x, f_N^y, f_N^z)$  and generic anisotropic parameter  $\Delta$ , the model has not been solved since Sklyanin's work in 1988 until our recent works:

- *Nucl. Phys.* **B 875** (2013), 152-165;
- *Nucl. Phys.* **B 877** (2013), 152-175.

In the solutions, we can give the eigenvalues in terms of some parameters which satisfy associated Bethe ansatz equations, without the explicit expressions of the corresponding eigenstates.



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

Besides the quantum Yang-Baxter equation, the R-matrix satisfies

$$\text{Initial condition : } R_{12}(0) = P_{12}, \quad (29)$$

$$\text{Unitarity relation : } R_{12}(u)R_{21}(-u) = -\frac{\sinh(u+\eta)\sinh(u-\eta)}{\sinh\eta\sinh\eta} \times \text{id}, \quad (30)$$

$$\text{Crossing relation : } R_{12}(u) = V_1 R_{12}^{t_2}(-u-\eta) V_1, \quad V = -i\sigma^y, \quad (31)$$

$$\text{PT-symmetry : } R_{12}(u) = R_{21}(u) = R_{12}^{t_1 t_2}(u), \quad (32)$$

$$\text{Z}_2\text{-symmetry : } \sigma_1^i \sigma_2^i R_{1,2}(u) = R_{1,2}(u) \sigma_1^i \sigma_2^i, \quad \text{for } i = x, y, z, \quad (33)$$

$$\text{Antisymmetry : } R_{12}(-\eta) = -\eta(1 - P) = -2\eta P^{(-)}. \quad (34)$$

In addition to reflection equations, the K-matrix satisfies

$$K^-(0) = \frac{1}{2} \text{tr}(K^-(0)) \times \text{id}, \quad K^-\left(\frac{i\pi}{2}\right) = \frac{1}{2} \text{tr}\left(K^-\left(\frac{i\pi}{2}\right)\right) \times \sigma^z.$$



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

These properties and the quasi-periodic properties of R-matrix and K-matrices imply

$$\begin{aligned}t(-u - \eta) &= t(u), \quad t(u + i\pi) = t(u), \\t(0) &= -2^3 \sinh \alpha_- \cosh \beta_- \sinh \alpha_+ \cosh \beta_+ \cosh \eta \\&\quad \times \prod_{l=1}^N \frac{\sinh(\eta - \theta_l) \sinh(\eta + \theta_l)}{\sinh \eta \sinh \eta} \times \text{id}, \\t\left(\frac{i\pi}{2}\right) &= -2^3 \cosh \alpha_- \sinh \beta_- \cosh \alpha_+ \sinh \beta_+ \cosh \eta \\&\quad \times \prod_{l=1}^N \frac{\sinh\left(\frac{i\pi}{2} + \theta_l + \eta\right) \sinh\left(\frac{i\pi}{2} + \theta_l - \eta\right)}{\sinh \eta \sinh \eta} \times \text{id}, \\ \lim_{u \rightarrow \pm\infty} t(u) &= -\frac{\cosh(\theta_- - \theta_+) e^{\pm[(2N+4)u + (N+2)\eta]}}{2^{2N+1} \sinh^{2N} \eta} \times \text{id} + \dots,\end{aligned}$$

and the very operator identity

$$t(\theta_j)t(\theta_j - \eta) = -\frac{\sinh^2 \eta \Delta_q^{(o)}(\theta_j)}{\sinh(2\theta_j + \eta) \sinh(2\theta_j - \eta)}, \quad \Delta_q^{(o)}(u) = \delta(u) \times \text{id}.$$



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

Let  $|\Psi\rangle$  be a common eigenstate of the transfer matrix with an eigenvalue  $\Lambda(u)$ , then

$$\Lambda(-u - \eta) = \Lambda(u), \quad \Lambda(u + i\pi) = \Lambda(u), \quad (35)$$

$$\Lambda(0) = -2^3 \sinh \alpha_- \cosh \beta_- \sinh \alpha_+ \cosh \beta_+ \cosh \eta \quad (36)$$

$$\times \prod_{l=1}^N \frac{\sinh(\eta - \theta_l) \sinh(\eta + \theta_l)}{\sinh \eta \sinh \eta}, \quad (37)$$

$$\Lambda\left(\frac{i\pi}{2}\right) = -2^3 \cosh \alpha_- \sinh \beta_- \cosh \alpha_+ \sinh \beta_+ \cosh \eta \quad (38)$$

$$\times \prod_{l=1}^N \frac{\sinh\left(\frac{i\pi}{2} + \theta_l + \eta\right) \sinh\left(\frac{i\pi}{2} + \theta_l - \eta\right)}{\sinh \eta \sinh \eta}, \quad (39)$$

$$\lim_{u \rightarrow \pm\infty} \Lambda(u) = -\frac{\cosh(\theta_- - \theta_+) e^{\pm[(2N+4)u + (N+2)\eta]}}{2^{2N+1} \sinh^{2N} \eta} + \dots, \quad (40)$$

$$\Lambda(\theta_j)\Lambda(\theta_j - \eta) = -\frac{\sinh^2 \eta \delta(\theta_j)}{\sinh(2\theta_j + \eta) \sinh(2\theta_j - \eta)}. \quad (41)$$





# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields

The function  $\delta(u)$  is given by

$$\begin{aligned}\delta(u) = & -2^4 \frac{\sinh(2u - 2\eta) \sinh(2u + 2\eta)}{\sinh \eta \sinh \eta} \sinh(u + \alpha_-) \sinh(u - \alpha_-) \cosh(u + \beta_-) \\ & \times \cosh(u - \beta_-) \sinh(u + \alpha_+) \sinh(u - \alpha_+) \cosh(u + \beta_+) \cosh(u - \beta_+) \\ & \times \prod_{l=1}^N \frac{\sinh(u + \theta_l + \eta) \sinh(u - \theta_l + \eta) \sinh(u + \theta_l - \eta) \sinh(u - \theta_l - \eta)}{\sinh(\eta) \sinh(\eta) \sinh(\eta) \sinh(\eta)}.\end{aligned}$$

Moreover, it follows that  $\Lambda(u)$ , as an entire function of  $u$ , is a trigonometric polynomial of degree  $2N + 4$ . Hence (35)-(41) completely determine the function  $\Lambda(u)$ . For this purpose, let us introduce the following functions:

$$\begin{aligned}A(u) &= \prod_{l=1}^N \frac{\sinh(u - \theta_l + \eta) \sinh(u + \theta_l + \eta)}{\sinh \eta \sinh \eta}, \\ a(u) &= -2^2 \frac{\sinh(2u + 2\eta)}{\sinh(2u + \eta)} \sinh(u - \alpha_-) \cosh(u - \beta_-) \\ &\quad \times \sinh(u - \alpha_+) \cosh(u - \beta_+) A(u), \\ d(u) &= a(-u - \eta).\end{aligned}$$



# Quantum Spin Chains without $U(1)$ -symmetry

Open chain with generic boundary fields: Eigenvalues

$$\Lambda(u) = a(u) \frac{Q(u-\eta)}{Q(u)} + d(u) \frac{Q(u+\eta)}{Q(u)} + \frac{2c \sinh(2u) \sinh(2u+2\eta)}{Q(u)} A(u)A(-u-\eta), \quad (42)$$

where the functions  $Q(u)$  is some trigonometric polynomial

$$Q(u) = \prod_{j=1}^N \frac{\sinh(u-\lambda_j) \sinh(u+\lambda_j+\eta)}{\sinh \eta \sinh \eta}. \quad (43)$$

the constant  $c$  is determined by the boundary parameters

$$c = \cosh((N+1)\eta + \alpha_- + \beta_- + \alpha_+ + \beta_+) - \cosh(\theta_- - \theta_+). \quad (44)$$

The  $N$  parameters  $\{\lambda_j\}$  satisfy the associated Bethe ansatz equations

$$a(\lambda_j)Q(\lambda_j-\eta) + d(\lambda_j)Q(\lambda_j+\eta) + 2c \sinh(2\lambda_j) \sinh(2\lambda_j+2\eta) A(\lambda_j)A(-\lambda_j-\eta) = 0, \quad j = 1, \dots, N, \quad (45)$$

and with the following selection rule for the roots of the above equations

$$\lambda_j \neq \lambda_l \quad \text{and} \quad \lambda_j \neq -\lambda_l - \eta.$$



- *Nucl. Phys. B* **893** (2015), 70-88.

The associated Bethe-type eigenstates are given by

$$|\lambda_1, \dots, \lambda_N\rangle = \mathcal{C}_{m^{(l)}}(\lambda_1|\alpha^{(l)})\mathcal{C}_{m^{(l)+2}}(\lambda_2|\alpha^{(l)})\cdots\mathcal{C}_{m^{(l)+2(N-1)}}(\lambda_N|\alpha^{(l)})|\tilde{\Omega}\rangle, \quad (46)$$

where the two parameters  $\alpha^{(l)}$  and  $m^{(l)}$  are determined by the boundary parameters of  $K^+$ -matrix, while the reference state  $|\tilde{\Omega}\rangle$  is determined by those of  $K^-$ -matrix. The  $N$  parameters  $\{\lambda_j|j = 1, \dots, N\}$  satisfy the BAEs (45).



- QYBE& REs  $\Rightarrow$  **Integrability**  $\Leftrightarrow$  **Transfer matrix**
  
- *Intrinsic Prop.* of R( or K)-matrix  $\Rightarrow$  **Operator Id.**  $\Leftrightarrow$  **Solvability**

Moreover, almost all of standard R-matrices and K-matrices have such intrinsic properties.



# High rank generalizations

The  $su(n)$  case

The R-matrix is given by

$$R_{12}(u) = u + \eta P_{12}, \quad P|i, j\rangle = |j, i\rangle, \quad i, j = 1, \dots, n, \quad (47)$$

and the associated the most general K-matrices are given by

$$K^-(u) = \xi + uM, \quad M^2 = \text{id}, \quad (48)$$

$$K^+(u) = \bar{\xi} - (u + \frac{n}{2}\eta)\bar{M}, \quad \bar{M}^2 = \text{id}, \quad (49)$$

The R-matrix satisfies QYBE and the K-matrices satisfy REs. The transfer matrix is given by

$$t(u) = \text{tr}_0 \left\{ K_0^+(u) T(u) K_0^-(u) \hat{T}_0(u) \right\}, \quad [t(u), t(v)] = 0,$$

$$T_0(u) = R_{0N}(u - \theta_N) R_{0N-1}(u - \theta_{N-1}) \dots R_{01}(u - \theta_1),$$

$$\hat{T}_0(u) = R_{10}(u + \theta_1) \dots R_{N-10}(u + \theta_{N-1}) \dots R_{N0}(u + \theta_N).$$



# High rank generalizations

The  $su(n)$  case

Intrinsic properties of the R-matrix

$$R_{12}(0) = \eta P_{12}, \quad R_{12}(\pm\eta) = \pm 2\eta P_{12}^{(\pm)}, \quad (50)$$

$$R_{12}(u) R_{21}(-u) = \rho_1(u) \text{id}, \quad R_{12}^{\dagger 1}(u) R_{21}^{\dagger 1}(-u - n\eta) = \rho_2(u) \text{id}. \quad (51)$$

and the corresponding properties of the K-matrices:

$$K^-(0) = \xi, \quad K^+\left(-\frac{n}{2}\eta\right) = \bar{\xi}, \quad (52)$$

$$K^-(u)K^+(-u) \propto \text{id}, \quad K^+(u)K^+(-u - n\eta) \propto \text{id}. \quad (53)$$



# High rank generalizations

The  $su(n)$  case

These intrinsic properties of the R-matrix and K-matrices lead to the operator identities:

$$t(\pm\theta_j)t_m(\pm\theta_j - \eta) = t_{m+1}(\pm\theta_j) \prod_{k=1}^m \rho_2^{-1}(\pm 2\theta_j - k\eta) \rho_0(\pm\theta_j), \quad (54)$$
$$m = 1, \dots, n-1, \quad j = 1, \dots, N,$$
$$\rho_0(u) = \prod_{l=1}^N (u - \theta_l - \eta)(u + \theta_l - \eta) \prod_{k=2}^m (2u - k\eta)(-2u - k\eta + (n-2)\eta),$$
$$t_n(u) = \text{Det}_q(u) \text{id},$$

and others  $n(n-1)$  relations among  $\{t_m(u)\}$ . The above relations completely determine the eigenvalues of all fused transfer matrices.

- *JHEP* **04** (2014), 143 .



The R-matrix reads

$$R_{12}(u) = \left( \begin{array}{ccc|cc|ccc} c(u) & & & e(u) & & & & & \\ & b(u) & & & g(u) & & & & f(u) \\ & & d(u) & & & & & & \\ \hline & \bar{e}(u) & & b(u) & & & & & \\ & & \bar{g}(u) & & a(u) & & & & g(u) \\ & & & & & b(u) & & & e(u) \\ \hline & & \bar{f}(u) & & \bar{g}(u) & & & & d(u) \\ & & & & & \bar{e}(u) & & & b(u) \\ & & & & & & & & c(u) \end{array} \right) .$$

It is the first simplest model beyond A-type.





The matrix elements are

$$a(u) = \sinh(u-3\eta) - \sinh 5\eta + \sinh 3\eta + \sinh \eta, \quad b(u) = \sinh(u-3\eta) + \sinh 3\eta,$$

$$c(u) = \sinh(u-5\eta) + \sinh \eta, \quad d(u) = \sinh(u-\eta) + \sinh \eta,$$

$$e(u) = -2e^{-\frac{u}{2}} \sinh 2\eta \cosh\left(\frac{u}{2} - 3\eta\right), \quad \bar{e}(u) = -2e^{\frac{u}{2}} \sinh 2\eta \cosh\left(\frac{u}{2} - 3\eta\right),$$

$$f(u) = -2e^{-u+2\eta} \sinh \eta \sinh 2\eta - e^{-\eta} \sinh 4\eta,$$

$$\bar{f}(u) = 2e^{u-2\eta} \sinh \eta \sinh 2\eta - e^{\eta} \sinh 4\eta,$$

$$g(u) = 2e^{-\frac{u}{2}+2\eta} \sinh \frac{u}{2} \sinh 2\eta, \quad \bar{g}(u) = -2e^{\frac{u}{2}-2\eta} \sinh \frac{u}{2} \sinh 2\eta.$$



The associated non-diagonal K-matrices  $K^-(u)$  is

$$K^-(u) = \begin{pmatrix} 1 + 2e^{-u-\epsilon} \sinh \eta & 0 & 2e^{-\epsilon+\sigma} \sinh u \\ 0 & 1 - 2e^{-\epsilon} \sinh(u - \eta) & 0 \\ 2e^{-\epsilon-\sigma} \sinh u & 0 & 1 + 2e^{u-\epsilon} \sinh \eta \end{pmatrix},$$

$$K^+(u) = \mathcal{M}K^-(-u + 6\eta + i\pi) |_{(\epsilon, \sigma) \rightarrow (\epsilon', \sigma')},$$

$$\mathcal{M} = \text{Diag}(e^{2\eta}, 1, e^{-2\eta}).$$

There four boundary parameters  $\epsilon, \sigma, \epsilon', \sigma'$ .



Intrinsic properties of the R-matrix

$$R_{12}(0) \propto \eta P_{12}, \quad R_{12}(u) R_{21}(-u) = \rho_1(u), \quad (55)$$

$$R_{12}^{\dagger_1}(u) \mathcal{M}_1 R_{21}^{\dagger_1}(-u + 12\eta) \mathcal{M}_1^{-1} = \rho_2(u) \times \text{id}, \quad (56)$$

$$R_{12}(6\eta + i\pi) = P_{12}^{(1)} \times S_{12}^{(1)}, \quad R_{12}(4\eta) = P_{12}^{(3)} \times S_{12}^{(3)}. \quad (57)$$

and the corresponding properties of the K-matrices:

$$K^-(0) = \xi, \quad K^+(-\frac{n}{2}\eta) = \bar{\xi}, \quad (58)$$

$$K^-(u)K^-(-u) \propto \text{id}, \quad K^+(u)K^+(-u + 6\eta + i\pi) \propto \text{id}. \quad (59)$$

$P_{12}^{(1)}$  and  $P_{12}^{(3)}$  are projectors with rank 1 and 3 respectively.



These properties lead to the following operator identities which complete characterize the spectrum of the transfer matrix

$$t(\theta_j)t(\theta_j + 6\eta + i\pi) = \frac{\delta_1(u) \times \text{id}}{\rho_1(2u)} \Big|_{u=\theta_j}, \quad j = 1, \dots, N, \quad (60)$$

$$t(\theta_j)t(\theta_j + 4\eta) = \frac{\delta_2(u) \times t(u + 2\eta + i\pi)}{\rho_2(-2u + 8\eta)} \Big|_{u=\theta_j}, \quad j = 1, \dots, N, \quad (61)$$

$$t(u) = t(-u + 6\eta + i\pi), \quad t(u) = t(u + 2i\pi), \quad (62)$$

and the values of the transfer matrix at  $0, i\pi, \infty$ .

- *JHEP* 06 (2014), 128 .



So far, many typical  $U(1)$ -symmetry-broken models have been solved by the method:

- The spin torus.
- The XYZ closed spin chain.
- The spin- $\frac{1}{2}$  Heisenberg chain with arbitrary boundary fields and its higher spin generalization.
- The  $\tau_2$ -model which related to the relativistic Toda chain.
- The open spin chains with general boundary condition associated with A-type algebras.
- The Hubbard model with unparallel boundary fields.
- The t-J model with unparallel boundary fields.
- The Izergin-Korepin model with non-diagonal boundary terms.



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**Integrability  $\Leftrightarrow$  Solvability**



Thank for your attentions

